SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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	y continue. See		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	4		hours per resp	0	0.5
1. Name and Add	ress of Reporting Pe	rson*	or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol			Reporting Pers	on(s) to Issue	er
Wachter Da	1. Name and Address of Reporting Person [*] Wachter David (Last) (First) (Middle) C/O SOLAR CAPITAL LTD.		<u>Solar Capital Ltd.</u> [SLRC]		all applicat	,	10% Owne	
C/O SOLAR CAPITAL LTD.	3. Date of Earliest Transaction (Month/Day/Year) 03/20/2020		Officer (gi below)	ve title	tle Other (specify below)			
500 PARK AVENUE, 3RD FLOOR		JOR	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X	Form filed	l by One Repo	rting Person	
NEW YORK	NY	10022			Form filed Person	by More than	One Reportir	ıg
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/20/2020		Р		20,000	Α	\$10.59 ⁽¹⁾	46,392 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 3. Transaction 5. Number Date Conversion Transaction (Month/Dav/Year) Derivative Security or Exercise if any (Month/Day/Year) Code (Instr. (Month/Day/Year) Securities Security Securities Form: Beneficial Price of Derivative Securities Acquired (Instr. 3) 8) Underlying (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) or Indirect Derivative Owned (I) (Instr. 4) Security (A) or Disposed Security (Instr. 3 and 4) Following Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Exercisable Expiration of Shares v (D) Code (A) Date Title

Explanation of Responses:

1. The price reported is a weighted average price. These shares were purchased in multiple transactions ranging from \$9.76 to \$11.49, inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

2. Includes shares acquired under the Solar Capital Ltd. dividend reinvestment plan since the filing of Mr. Wachter's previous beneficial ownership report on Form 4 on March 1, 2016.

/s/ David Wachter

** Signature of Reporting Person Date

03/23/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.